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37 CFR 1.8 CERTIFICATE OF MAILING

I hereby certify that this correspondence and any documents indicated as being enclosed therein are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Official Draftsperson, Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date: 4/12/2001

  
Signature

Printed Name: Stephanie Klepp

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

STEPHEN S. SELKIRK ET AL.

Serial No. 09/751,636

Filed: December 29, 2000

For: **SYSTEM TO SUPPORT DYNAMICALLY FLEXIBLE DATA DEFINITIONS AND STORAGE REQUIREMENTS**

)  
) Group Art Unit: 2186

)  
) Examiner: Not Assigned

)  
) Attorney Docket No. 00-059-DSK

**FORMAL DRAWINGS TRANSMITTAL**

ATTN: OFFICIAL DRAFTSPERSON  
ASSISTANT COMMISSIONER OF PATENTS  
Washington, D.C. 20231

Enclosed herewith are the formal drawings (six (6) sheets; Figures 1-6) in the above-captioned patent application.

Respectfully submitted,

  
Wayne P. Bailey, Reg. No. 34,289  
Storage Technology Corporation  
One StorageTek Drive, MS-4309  
Louisville, Colorado 80028-4309  
(303) 673-8223



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,635	12/29/2000	Stephen S. Selkirk	00-059-DSK

CONFIRMATION NO. 9246

## FORMALITIES LETTER



\*OC000000005759370\*

CARSTENS, YEE & CAHOON, L.L.P.  
P.O. Box 802334  
Dallas, TX 75380

Date Mailed: 02/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

09/751635

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00000101

JADD01

04/18/2001

710.00 CH  
130.00 CH01 FC:101  
02 FC:105

*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence and any documents indicated as being enclosed therein are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date: 4/10/2001

Printed Name: Stephanie Klepp

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Stephen S. Selkirk et al.

ART UNIT: 2186

SERIAL NO.: 09/751,636

ATTORNEY DOCKET NO.: 00-059-DSK

FILED: December 29, 2000

TITLE SYSTEM TO SUPPORT DYNAMICALLY FLEXIBLE DATA DEFINITIONS AND STORAGE REQUIREMENTS

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231


Sir:

Responding to the Notice to File Missing Parts of Nonprovisional Application, mailed February 13, 2001, the following are enclosed:

- a) Declaration for Patent Application and Power of Attorney as filed with application, evidenced by a copy of the date stamped filing receipt;
- b) Transmittal letter authorizing the payment of the filing fee of \$710.00 filed with the
- c) application as evidenced by a copy of the date stamped filing receipt
- d) Copy of the Notice to File Missing Parts of Application;
- e) Postcard receipt.

Applicant believes that no fee is due because the document designated as missing was in fact timely filed. In the event that a fee is due, please charge Deposit Account No. 19-4545 in the amount of \$130.00 for the surcharge fee. The filing fee of \$710.00 is authorized to be charged to Deposit Account No. 19-4545. Any additional fees to enter this response may be charged to Deposit Account No. 19-4545.

Wayne P. Bailey  
Registration No. 34,289  
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Attorney  
Wayne P. Bailey, Reg. No. 34,289  
April 9, 2001



EXPRESS MAIL NO.: EL750740763US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Packet No.: 00-059-DSK

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

**Stephen S. Selkirk and Charles A. Milligan**

**For: A SYSTEM TO SUPPORT DYNAMICALLY FLEXIBLE DATA DEFINITIONS AND  
STORAGE REQUIREMENTS**

Enclosed are also:

☒ 25 Pages of Specification including an Abstract  
☒ 3 Pages of Claims  
☒ 6 Sheet(s) of Drawings  
☒ A Declaration and Power of Attorney  
☒ Form PTO 1595 and Assignment of the invention to Storage Technology Corporation

**CLAIMS AS FILED**

FOR	Number Filed	Number Extra	Rate	Basic Fee (\$710)
Total Claims	10	-20 = 0	X \$ 18	= \$00
Independent Claims	1	-3 = 0	X \$ 80	= \$00
Multiple Dependent Claims	0		X \$270	= \$00
<b>Total Filing Fee</b>				<b>= \$710</b>

☒ Please charge \$710 to Storage Technology Corporation Deposit Account No. 19-4545.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with the communication, or credit any overpayments to Storage Technology Corporation Deposit Account No. 19-4545. (A duplicate copy of this sheet is enclosed.)  
☒ Any additional filing fees required under 37CFR § 1.16.  
☒ Any patent application processing fees under 37CFR § 1.17.

Respectfully,

Duke W. Yee

Registration No. 34,285

  
Charles D. Stepps, Jr.

Registration No. 45,880

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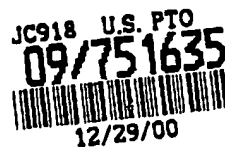
Telephone: (972) 367-2001



Received in the U.S. Patent & Trademark Office  
In Re Application of  
INVENTOR: Selkirk et al.  
TITLE: A System To Support Dynamically Flexible Data Definitions and  
Storage Requirements

## Enclosed:

1. Certificate of Mailing;
2. Transmittal Letter in duplicate;
3. Recordation in duplicate;
4. Assignment (one);
5. Declaration and Power of Attorney (one);
6. Patent Application (25 pgs. spec. and abstract);
7. Claims (3 pages)
8. 6 pages of drawings; and
9. Our return postcard.



Client: IBM Corporation  
Serial No. Unknown  
Docket No. 00-059-DSK  
DWY/acn

Date Mailed: December 29, 2000  
vi